



Dietetics & Nutrition NEWS

Newsletter - Professional Board for Dietetics and Nutrition





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Chairperson's Note



With seasonal changes we also see changes in our personal and work environment. Likewise, the HPCSA is changing with regards to day to day functioning, turn-around strategy, streamlining of business, and so on. Change for the better does not always come as fast as most of us would like, but given time, all of us will benefit from the changes adopted at the offices of the HPCSA. It was decided at a recent Board meeting that the Board wants and needs to communicate with members on a more regular basis and will therefore start distributing electronic newsletters every 2-3 months, rather than printed and online copies. This will improve our visibility and sharing of relevant information.

During the past month the Professional Board for Dietetics and Nutrition has appointed a Coordinator and task team to address the change of curriculum of the Dietitian-Nutritionist. As

indicated in previous correspondence, this process will take a minimum of 2 to 3 years to execute. Any of our members who need more information on this is more than welcome to send us an e-mail.

A tremendous amount of work has been done by Board members and the secretariat to gather information on numerous activities, tasks and action plans. These will be the guidelines for the future Board members to build and improve on. Members need to already start thinking and identifying possible nominations for a new Board from 1 July 2020.

When identifying nominations, make sure that these will be professionals who can and will work hard, have the professionals' interests at heart, will be able to keep to the pace of the additional work, be able to contribute to whatever needs to be done at all times, be available for all meetings, workshops, and training sessions. But, the most important is that elected members are willing to work after hours and spend time away from home and family on a regular basis.

I hope that the coming months will be kind to you all.

Chairperson for Dietetics and Nutrition Board
Prof. SM Hanekom, PhD Nutrition



Introduction of the New Nutritionist Profession (NNP) project task team members and an overview of the progress to date

The process of restructuring the current Dietetic and Nutrition programmes to address the approved outcomes for the NNP, has officially started during the last part of 2018.

The Professional Board for Dietetics and Nutrition (DNB) appointed Prof Edelweiss Wentzel-Viljoen in 2019 with the mandate to conclude the NNP curriculum application at Council on Higher Education (CHE), Department of Higher Education and Training (DHET) and South African Qualification Authority (SAQA) together with the 10 universities that will offer the programme, and to continue collaborations with the National Department of Health (NDoH) regarding the career path and working opportunities of the NNP. The majority of the professionals are employed by the Department of Health (on national and provincial level).

The Task Team members are:

- Prof E Wentzel-Viljoen (Coordinator)
- Ms Lenore Spies (Chair of the ETR Committee of the DNB of the HPCSA)
- Dr Heather Sedibe (University of Pretoria)
- Ms Jill Wilkenson (University of the Western Cape)

Training institutions are:

- Nelson Mandela University
- North-West University
- Sefako Makgatho Health Sciences University
- Stellenbosch University
- University of KwaZulu-Natal
- University of Limpopo
- University of Pretoria
- University of the Free State

- University of the Western Cape
- University of Venda

The two programmes offered (as 4-year integrated programmes on exit level NQF 8) by different Higher Education Institutions are accredited by the DNB of the HPCSA on a 5-year cycle.

Two professional programmes are offered:

- Dietetics – leading to registration with the HPCSA as a dietitian
- Nutritionist – leading to registration with the HPCSA as a nutritionist

Background information on the process followed to establish the competencies for the NNP:

At an annual stakeholder meeting (between the DNB and relevant stakeholders) held on 23 February 2012, a Task Team was mandated to investigate the overlapping scopes and competencies for dietitians and nutritionists. The stakeholders included all the training institutions, the National Department of Health, the nutrition provincial managers of all nine provinces, the South African Defence Force, the Association for Dietetics in South Africa (ADSA) and the Nutrition Society of South Africa (NSSA). The Task Team reported back to the DNB with a report dated 26 October 2012. This report was tabled and discussed at the annual stakeholder meeting of 27 February 2013 and further discussed at meetings of the DNB in 2013.

At the following annual Stakeholder meeting of 26 February 2014, it was decided to appoint a second Task Team to do a comprehensive situation analysis to inform the DNB and stakeholders on decisions to be taken regarding the training of nutrition professionals in South Africa. Although the DNB is responsible for the final decision taking on the way forward, they expressed the need to take the decision based on the input of the various stakeholders. Therefore,

a meeting between the second Task Team and representatives of all the stakeholders to assist in the collective decision-making process, took place. The appointment and mandate of the second Task Team was confirmed at the Education Committee (ETR) of the Professional Board meeting on 27 February 2014. The Task Team was mandated to further work on the existing situation analysis and presents to the Board a comprehensive overview and a suggestion on the way forward.

The report of the second Task Team was tabled at the ETR meeting of 22 July 2014. The Committee recommended to the DNB that the principle to register only one nutrition professional in future should continue.

The report was also shared and discussed at the Nutrition Congress held during September 2014 in Gauteng after which the report was updated. The report was tabled at the full Professional Board meeting held on 25 September 2014. Feedback and comments received at the meeting were considered and discussed. Given the current burden of disease profile, the overlapping scopes of practice of the dietetic and nutritionist professionals, the decline in the number of training institutions offering training for nutritionists, the lack/absence of community service positions for nutritionists, the local and global economic climate and a range of other situations that are influencing the nutrition agenda in South Africa, the DNB decided to continue with the process to train and register only one nutrition professional in future. The Board however, realised that it will take some time to go through all the processes to implement this decision.

At the next annual Stakeholder meeting held on 23 February 2015 the final report was tabled, discussed and approved by the Stakeholders. Input was requested and received from training institutions and the final document with competencies and outcome criteria has been updated after the meetings of 22 (DNB with representatives of all training universities) and

23 (Stakeholder meeting) February 2017.

During the consultation process the Board also consulted with the National Department of Health (NDoH). Both Ms L Moeng-Mahlangu and Mr G Tshitadzi, employees of the NDoH were Board members during this time. Representatives of HR of the NDoH also attended a meeting of the Board. In addition, the Board met with the HR of the NDoH on 25 February 2015. At this meeting the decision was taken that the Board should present the future training of the nutrition profession at the National Human Resource Committee. This presentation was done at the NHRC (Ref A7/8/1/9) meeting of 22 October 2015. All the provinces were represented at this meeting.

Although the universities were part of the different Task Teams and the process, they were officially informed at the Stakeholder meeting of 23 February 2017 that the process for the development of the skills and competencies has been completed and that the final document will be sent to them for immediate implementation. The content of the consultancy was discussed at the stakeholder meeting of 21 February 2018.

The Chair of the Professional Board of Dietetics and Nutrition and Chair of the Education Committee at the time also had discussions with the Department of Higher Education and Training where Dr Engela van Staden and Mrs Ntutu Zulu-Siwela met on 11 October 2016. Two important points were discussed: Firstly, since both programmes for dietitians and nutritionists will change more than 50% a new qualification should be developed and accredited; secondly, articulation should be possible over at least the first two years or study between the different universities.

Several other meetings with role players took place during the past two months, and more details of these meetings will be shared in our next newsletter.



Prescription Rights Task Team Update

The Dietetics and Nutrition Board (DNB) is in the process of motivating for South African registered dietitians to prescribe substances that are within the scope of their practice. The Medicine and Related Substance Act of 1965 does not recognise dietitians as prescribers of any scheduled substance, despite them being the experts in the field of nutrition. Members of the profession who were being stopped from prescribing scheduled nutrition solutions which are within their scope, raised their concerns with the Board, which subsequently, decided in April 2018 to appoint a task team to address the prescription rights of dietitians. Members of the prescription rights task team are Mr T Nageli (Task Team Chairperson), Ms CN Nieuwoudt, Ms N Naicker, Dr E van Niekerk, Ms A du Toit and Ms L Spies (Board representative).

The following roles and functions of the Task Team were approved by the Board

The DNB (Professional Board for Dietetics and Nutrition) Prescription Task Team will:

- Provide documented evidence to support the use of enteral and parenteral support.
- Provide documented evidence of nutrients individual or in a group with nutritional benefits when administered and the route thereof.
- Provide documented evidence of nutrients with pharmaceutical benefits when administered individually or in a group, the dosage and routes.
- Compile a generic list of enteral nutritional products that can be prescribed by Dietitians and Nutritionists.
- Compile a generic list of intravenous (IV) nutritional products that can be prescribed by nutrition professionals.
- Make specific recommendations to the Professional Board for Dietetics and Nutrition on the in-corporation of prescription rights and relevant required competencies into the minimum outcome competencies for nutrition professionals and their scope of

practice.

- Benchmark against international practice.

The draft report of the task team was circulated in July 2019 for comments from members of the profession, professional bodies and universities, and indeed the Board received encouragingly large number of comments, mostly positive and highly useful. At this stage the task team is busy considering all comments before the final report is completed. The board and the task team are very grateful to members of the profession who submitted comments to assist the process.

It is safe to say when we compare with other countries with advanced dietetics programs, they have faced similar challenges and undertook the same process of acquiring prescription rights. Two clear conclusions were drawn from international bench marking. Firstly, in the countries reviewed, dietitians are now allowed to prescribe limited pharmaceutical products with the provision that they have proven competency to do so, and, secondly, prescribing dietitians are held to high standards and are registered as prescribers. From comments we received and discussions within the task team, there is agreement that we should also follow the same path.

The task team is at an advanced stage with finalising its report on the way forward on resolving this matter and will submit their final report with their recommendations for the next Board meeting I want to assure all that the comments were considered and vigorously debated where necessary. Some comments were incorporated into the document and others discarded. However, some were outside the terms of reference of this particular task team, and they will also be referred to the Board for consideration.

As the chair of the task team I would like to thank the team who sacrificed a lot of their time in making sure that the process moved forward and is completed on time, and all members of the profession who participated in the process.



An update on the International Congress of Dietetics (ICD)

Christine Taljaard-Krugell

(ADSA President and Chair of the Local Organising Committee, ICD)

The International Confederation of Dietetic Associations (ICDA) is an organisation of national associations of Dietitian-Nutritionists that represents over 200 000 Dietitian-Nutritionists worldwide. The Association for Dietetics in South Africa (ADSA) is one of more than 50 national dietetic associations that forms part of the ICDA.

Every four years the congress of the ICDA, known as the International Congress of Dietetics (ICD), takes place in different countries around the world. In 2012, ADSA won the bid to organise the ICD that will take place in 2020. The ICD will run from the 15th – 18th September 2020 at the CTICC in Cape Town. As with the national congress that is hosted every two years, the ICD will be hosted in association with the Nutrition Society of South Africa (NSSA).

The preparation for the ICD2020 is in full swing. The organising team members for this event are Lianne du Plessis (Scientific Committee), Claire Julsing-Strydom (Marketing), Cath Day (Social), Jessica Byrne (ADSA representative), Ali Dhansay (NSSA representative) and Leanne Kiezer (Sponsorship) and Christine Taljaard-Krugell as the Chair of the organising team. As the ICD is an international congress the registration fees will be higher than usual and therefore we urge South African delegates to start saving and to take this into account when planning for congress attendance. Early Bird registration fees for South African delegates who are ADSA and NSSA members currently stand at 4700 ZAR.

Abstracts will open at the beginning of May this year and will close on the 15th October 2019. Following this, a review process will be conducted and the accepted abstracts will be allocated to either oral or poster presentations. Feedback will be provided to the abstract submitters by 15 March 2020, and an advanced draft of the academic programme will be available in May 2020.

The congress theme and scientific programme content

The theme for the ICD 2020 is Improving Nutrition – Unlocking Potential – Accelerating Change. It is the objective of the ICD 2020 to promote the achievement of the Sustainable Development Goals (SDGs). With inter-sectoral cooperation, coherence and partnerships, nutrition can contribute to and benefit from five underlying themes key to achieving the SDGs, namely:

- Sustainable food production
- Strong systems of infrastructure
- Health systems
- Equity and inclusion
- Stability and peace

The scientific programme will map these five key themes from the SDGs across the four domains of dietetics/nutrition, including: 1) community nutrition/public health nutrition; 2) therapeutic nutrition; 3) food service management and food systems as well as 4) professional development. In doing so, the scientific programme will provide platforms for delegates to constructively engage, teach, learn, and lead. The programme will also address the most pressing nutrition and developmental needs of the African continent, with a strong focus on food security, public health nutrition and sustainability.

More information is available on the congress website (<http://www.icda2020.com/index.php>).



DNB Second CPD Activity for 2019

Cullerton et al Final Accredited

Cullerton K, Adams J, Forouhi N, Francis O & White M. 2019. What principles should guide interactions between population health researchers and the food industry? Systematic scoping review of peer reviewed and grey literature. *Obesity Reviews* 2019; 1-12. DOI: 10.1111/obr.12851/ open access article

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PUBLIC HEALTH/OBESITY RESEARCH

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What principles should guide interactions between population health researchers and the food industry? Systematic scoping review of peer-reviewed and grey literature

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Summary

There is no explicit consensus amongst population health researchers regarding what constitutes acceptable or effective interactions with the food industry. This has led to confusion and disagreements over conflicts of interest, which can undermine the integrity of science. To clarify this issue, we aimed to systematically identify the key principles developed by population health researchers to prevent or minimize conflicts of interest when interacting with the food industry. Databases of peer-reviewed literature were searched. In addition, an advanced Google search, a request to experts seeking related documents, and hand searching of references were undertaken. Thematic analysis of the extracted data was undertaken. We examined 54 eligible documents describing guidelines for population health researchers when interacting with the food industry. Fifty-six principles were identified and synthesized in five themes. There were high levels of agreement in themes relating to research governance, transparency, and publication but less agreement and guidance on how principles should be applied in relation to funding and risk assessment. There is agreement on some of the general principles for preventing and minimizing conflicts of interests for population health researchers when interacting with the food industry. However, for issues such as assessing the appropriateness of an industry partner, greater clarity and consensus are required.

KEYWORDS

conflict of interest, food industry, nutrition, public-private partnerships, research

1 | INTRODUCTION

Poor diet is a growing global public health challenge, with important implications for non-communicable diseases.¹ Throughout the world, a substantial proportion of the food we eat now comes from the commercial food system.^{2,3} Achieving healthier diets in populations will

require action by food industry players, either voluntary or mandated. Such action may have the potential to be strengthened by interactions between the food industry and public sector researchers who work in the field of diet and population health, including those who study nutritional epidemiology, public health nutrition, and dietary behaviours at a population level (who we refer to hereafter as "population health researchers"). These interactions are often actively encouraged

Systematic review registration: PROSPERO, registration no. CRD42017060539.

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by funding bodies and research institutions.^{4,5} However, the primary purposes of the food industry (to maximize profit) and population health researchers (to further knowledge to inform health improvement) are often poorly aligned, leading to the potential for conflicts of interest. These real and perceived conflicts of interest can undermine the credibility of research and researchers, resulting in an erosion of trust amongst the general public and policy makers and scepticism of published research.⁶⁻⁹

Despite these concerns, there is currently no explicit international consensus for population health researchers regarding what constitutes acceptable or effective interactions with the food industry.^{10,11} A significant factor informing acceptable interactions is preventing the damaging impacts of actual or perceived conflict of interests. Whilst guidance regarding acceptable interactions and conflicts of interest involving the food industry has been developed for non-government organizations¹² and for policy makers,^{13,14} limited work has been undertaken specifically for population health researchers, addressing the unique challenges they face. Consensus-driven principles for nutrition researchers (including those working in population health) have been developed in the United States of America by representatives of US government agencies, the food industry, and professional nutrition associations to guide research partnerships.¹⁵⁻¹⁷ However, the involvement of the food industry in the development of researcher engagement principles is considered problematic by many.^{8,18} The absence of clear consensus on what are acceptable interactions between population health researchers and the food industry has given rise to disagreements and confusion, which can further undermine credibility and integrity of nutritional and dietary public health science, further eroding trust and exacerbating scepticism.

Confusion remains for a number of reasons. Firstly, there is a lack of clarity around the term “conflict of interest.” One commonly cited definition of conflict of interest is “a set of conditions in which professional judgment concerning a primary interest (such as a patient’s welfare or the validity of research) tends to be unduly influenced by a secondary interest (such as financial gain).”¹⁹ Financial gain tends to be the focus for conflict of interest guidance.²⁰ However, financial gain is not the only factor that may lead to conflicts of interest for researchers. The desire for recognition, academic advancement, and success in publication and funding are other powerful influences.²¹ Furthermore, conflicts of interest can also arise from researcher’s personal relationships and business associations.¹¹ A conflict of interest can also be perceived to exist even when it does not. Perceived conflicts of interest can be just as important as actual conflicts of interest in undermining trust in research and researchers.^{9,22,23} This perception is not always unwarranted, with many examples of the food industry donating funds to, or collaborating with, universities as part of a deliberate strategy to improve their reputation and to influence the evidence base.^{7,24-27}

Secondly, the type of interaction that population health researchers may have with the food industry is varied and may have different consequences. Financial transactions, for example, research grants, are the most publicized form of interaction.⁷ These are often

supported by government and research institutions, particularly in the face of declining public funds for research.^{17,28} However, interactions may take other forms, such as in-kind funding, which includes access to resources, travel costs, or honoraria or direct dialogue, which could include providing advice on industry initiatives.¹³ There is limited acknowledgement of the existence of these other forms of interaction or guiding principles about them.

Finally, the “food industry” includes a heterogeneous range of companies and products. Different food products have different consequences for health, and many companies have a mixed portfolio of products. Furthermore, companies themselves may engage in health promoting, health damaging, or health neutral behaviours beyond the products they sell. This heterogeneity makes it difficult to come up with overarching principles for appropriate interactions. To help clarify what constitutes appropriate interactions between population health researchers and the food industry, we aimed to systematically harvest from the literature, synthesize and analyze the key principles that have been identified to help prevent, or manage actual or perceived conflict of interest.

2 | METHODS

Our protocol was informed by the Arksey and O’Malley framework for conducting a scoping review.²⁹ A scoping review is a rapid form of knowledge synthesis designed to identify gaps in the evidence base. It is an iterative process guided by a requirement to identify all relevant literature regardless of study design.²⁹ The review protocol was registered with PROSPERO, registration no. CRD42017060539.

2.1 | Search strategy

We conducted a number of different searches between March and June 2017 to identify relevant peer-reviewed and grey literature. Firstly, a

Box 1 Search strategy, inclusion, and exclusion criteria

Search strategy:

The search strategy included subject heading and text word terms appropriate to each database. Combinations and synonyms of the following terms were used: “conflict* of interest,” OR “public*private partnership*” OR “university-industry relations” AND “diet” OR “nutrition” OR “food” OR “obesity” OR “food industry” AND “manag*” OR “guiding principle*” OR “codes of conduct” OR “framework” OR “standard.”

Inclusion criteria:

Documents that evaluate, compare, use, or describe a process, framework, or practise for identifying and/or preventing and/or managing conflict of interest in private-public partnerships between population health researchers

focussed on diet and/or nutrition and commercial food companies.

Exclusion criteria:

Articles, papers, and reports that only describe the concept of conflict of interest or only analyze and report on the existence of a conflict of interest in a private-public partnership involving population health researchers and a commercial food company.

Articles, papers, and reports that focus on conflict of interest solely in food technology, clinical nutrition, agriculture, food safety, or nutrition policy making with no reference to research.

Articles, papers, or reports in a language other than English.

comprehensive search was conducted on the full holdings of PubMed and SCOPUS databases with no restriction on date or country of publication, although only documents in English were reviewed. The search strategy and inclusion and exclusion criteria can be found in Box 1.

A Google advanced search using the same search terms, inclusions, and exclusions as above, was undertaken to identify grey literature. The addition of "filetype: PDF" was used to further refine the search

as most relevant documents were in a PDF format. Where more than 50 results were found, only the first 50 were scanned, as initial searches revealed no new documents after this.

Additionally, over 200 key informants in the field, identified via international public health nutrition associations and other databases, were contacted and asked to nominate documents for inclusion. Finally, reference lists of included documents were hand searched for additional eligible documents.

Database searches were managed using EndNote X5. One author (K.C.) screened retrieved titles and abstracts for eligibility. The full texts of potentially eligible documents were then retrieved and K.C. reviewed these to determine whether they met the inclusion criteria. A second reviewer (M.W.) double screened 15% of included documents. Reasons for excluding documents were recorded, and 15% of these decisions were checked by M.W. Any identified discrepancies were discussed and resolved by K.C. and M.W. A PRISMA flow chart (Figure 1) documents the search and selection process.

2.2 | Data extraction and synthesis

Documents from all sources were analyzed until concept saturation was reached; that is, no new information, themes, or descriptions of principles for addressing conflicts of interest were found.³⁰ To support

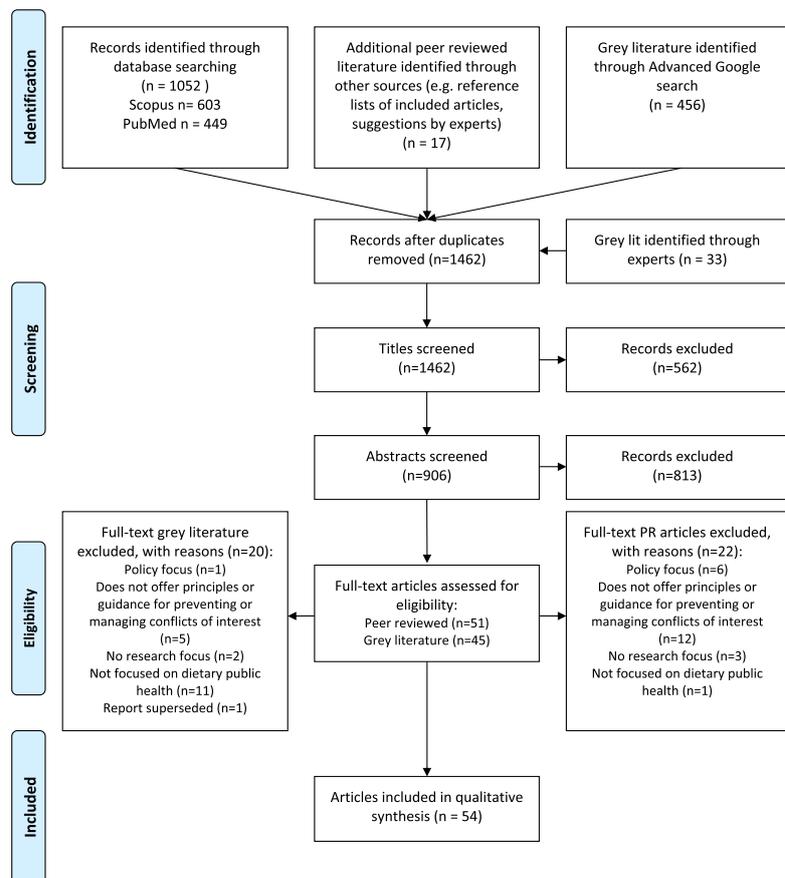


FIGURE 1 PRISMA 2009 flow diagram [Colour figure can be viewed at wileyonlinelibrary.com]



this, a data extraction form was developed and reviewed by all authors. The extracted data included publication year, country, whether there was a definition of conflict of interest, the principles or activities for preventing or managing conflict of interest, the target users, whether competing interests were disclosed, and whether the reviewer perceived the authors had a conflict of interest based on their place of employment. Data extraction was undertaken by K.C. No formal quality assessment was undertaken following standard practise for scoping reviews.²⁹

Key extracted principles were imported into NVivo v11 for analysis.³¹ An inductive process of coding and analysis was used. This allowed the initial codes and then the subsequent themes and names for the themes to flow from the data.³² This process was initially undertaken by K.C.; M.W. duplicate coded 15% of included documents. A flow diagram to illustrate how the themes interact was also constructed to aid analysis.

In order to explore the origins and evolution of ideas in this body of literature, we also undertook a network analysis of all citations. NodeXL³³ was used to visualize the number of times included documents were cited by other included documents.

3 | RESULTS

We identified 54 relevant documents describing principles for preventing or managing conflict of interest between the food industry and dietary public health researchers. Just over half of these documents were published in peer-reviewed journals (n = 28; 52%). Documents included were published between 1999 and 2017 with the largest number focused on high income countries (n = 24; 44%). Six (11%) were focused on issues specific to low and/or middle income countries, and the remainder did not specify the audience or context. Forty one percent of documents were clearly in favour of necessary

interactions with private industry (n = 22), 24% were against (n = 13), and the remainder took a neutral position. For those documents clearly in favour of private industry interactions, half (n = 11) had either declared funding by the food or beverage industry or had authors who were employed by the food and beverage industry. The most comprehensive guidance for those working in population health was designed for non-governmental organizations^{34,35} or government agencies considering private investment in nutrition intervention programmes.^{13,36} The most comprehensive guidance specifically designed for researchers was developed by Rowe et al,^{15,37} and this was referred to as an accepted standard in other documents (particularly those with a pro-industry engagement stance).^{10,16,17,38-41}

In the majority of cases, stated principles appeared to be based on authors' opinions, rather than empirical research. Our network analysis of citations (see Figure 2) revealed two documents that had a higher number of citations than the others,^{34,37} although the number of citations were fairly low showing no clear evolution of principles over time. Fifty percent (n = 27) of documents did not cite any other document in the review.

Fifty-six unique principles for preventing or managing conflicts of interest were identified and synthesized into five themes: (a) structure and governance of funding; (b) undertaking a risk assessment; (c) maintaining high standards of research governance; (d) ensuring high levels of transparency; and (e) improving publication standards (see Table 1).

3.1 | Structure and governance of funding

The research funding process frequently featured as a critical component for preventing conflicts of interest. This included two elements: the appropriateness of accepting funds from the food industry and the governance processes for accepting funds. There was a variety of principles related to the appropriateness of accepting funding;



FIGURE 2 Network analysis of all citations from the systematic scoping review

TABLE 1 Principles for preventing or managing conflicts of interest identified from published sources

Themes, Subthemes, and Statements	Sources
1. Funding	
1.1 A pool of funding from the food industry that is independently administered by a publically accountable third party should be created	13,42,43
1.2 A system where industry provides funding to research institutions, not individual researchers or research units, should be created	10,42,44
1.3 Researchers should not accept funds from the food industry	7,14,45-47
1.4 Researchers should not accept funds from processed food companies	7,14,42,45-50
1.5 Researchers should not accept funds from any commercial organization	45,46
For those who accept funding from the food industry	
1.6 Researchers should have no commercial interest in the product being researched	42
1.7 Funding from industry should reflect the full cost of the research (eg, using a standard academic costing framework) and not more than this amount	42
1.8 Industry funding should be nondesignated	51
1.9 There should be no involvement of the food industry funder in any aspect of a research project	27
1.10 There should be limited involvement of the funder in any aspect of the project	52
2. Undertake thorough risk assessment	
Risk assessment of potential partner(s)	
2.1 Have a clearly identified system to identify and assess interests of potential partners	12-14,17,34-36,43,46,49-51,53-57
2.2 A partnership should only be initiated if it will help advance the public health goal	4,14,15,17,54,58
2.3 Only enlist partners who are committed to long term funding and engagement	15,16 Opposite sentiment: ³⁵
2.4 Only enlist partners who are committed to sharing of research data arising from the research project	15,16
2.5 Only enlist partners who operate in an ethical manner and uphold the human rights of women, men, and children	14,34,35,48,51,53,59
2.6 Ensure the organizational values and overarching goals of the partners are compatible	4,14,17,34-36,54,60-62
2.7 Ensure all partners have shared objectives and a shared approach to the research question and activities	15,58,60,63
2.8 Avoid companies whose objectives and/or goals are related to the increased production, supply or demand of "unhealthy food" products and/or to the promotion of unhealthy and unsustainable ways of eating and producing food	35,42,48,49
2.9 Assess whether the partnership could undermine the integrity or trustworthiness of my institution	17,35,36,54,55,62
Risk assessment of type of engagement	
2.10 Consider whether the proposed engagement would be acceptable across institutions and national borders	4,61
2.11 Be guided by generic international protocols and frameworks (eg, World Health Organization) on appropriate types of engagement	14,51
Ensure public benefit is at centre of agreement	
2.12 Consider whether the partnership provides maximum benefit to society	12,16,36,50,54
2.13 Consider what the public would think about this arrangement	17,27,46,59,61,62,64,65
Consider possibility of reputational damage and loss of trust	
2.14 Consider what my colleagues would think about this arrangement	64
2.15 Decline to give industry sponsored presentations	34
2.16 Do not "ghost write" publications for the private sector	34,64
2.17 Do not accept gifts or hospitality if it compromises or appears to compromise objectivity	64,65
	39

(Continues)



TABLE 1 (Continued)

Themes, Subthemes, and Statements	Sources
2.18 Do not participate in undisclosed paid authorship arrangements in industry-sponsored publications or presentations	
2.19 Do not allow the commercial partner to co-brand (eg, use their logo) on the research project or related material	4,43,51
3. Research governance	
3.1 Clearly state and agree goals, objectives, roles, and responsibilities and accountability before work commences	4,10,12-17,27,34,38,43,54,58-60,66
3.2 Plan research so it is designed objectively and is scientifically sound in its approach	37,39,41,44,67
3.3 Establish upfront control and ownership of the data by the researcher/s but provide accessibility to data and analyses to the industry funder	37,40,67,68
3.4 Data analysis should be done by statisticians independent of the researcher/s who designed and conducted the study	8,42
3.5 Undertake random audits of data provided by food companies for research projects	10
3.6 Secure oversight of the research by a nonconflicted third party	10,12,13,16,34,41,60
3.7 Require all trials or other studies in dietary public health to be registered at time of initiation of the study	8,45
Ensure partners have equal power	
3.8 Along with the private sector, include members of civil society (eg, foundations, NGOs, and consumers) as partners	10,15-17,54,60
3.9 Ensure diversity of partners to avoid undue influence of any one partner	12-17,43,51,52,69
3.10 The research institution must be able to independently criticize a commercial-sector entity for issues unrelated to the partnership	34
Ensure public benefit is at centre of agreement	
3.11 Engage independent members of the public in the process of defining research problems and subjecting research projects to ongoing critical scrutiny	15,39,43
Management of conflict(s) of interest	
3.12 Have a clearly identified system to identify, assess, and manage the interests of all stakeholders	12-14,34,36,43,51,54-56,59
3.13 Recuse stakeholders from committee (or similar) decision making where there may be an actual or perceived conflict	13,16,34,59,68,70
3.14 Continuously monitor for conflicts of interest	13,17,39,43,51,55
Consequences	
3.15 Establish clearly stated exit mechanisms for partners	16,17,34,36,43,54
3.16 Establish sanctions with effective enforcement for violation of conflict of interest including reprimands, fines, and dismissal	13,43,45
4. Transparency	
4.1 Explicitly report funding, governance structures, research frameworks, and findings and ensure it is publically available	10,13,14,27,69,71
4.2 All individuals involved in a research partnership should undertake full disclosure including financial, personal, and professional interests over the past 5 years	7,13,14,16,24,34,37,39,45,51-53,56,59,64,65,67,68,70-73 5 years specifically: 8,69
4.3 All individuals involved in research partnership should disclose interests of their spouse/partner, minor children, employer, and business partners	13,64
4.4 Ensure all presentations and media releases from an industry partner, regarding any research project to which they have contributed direct or in-kind funding, are endorsed by the research partner	64,67,69
4.5 Require full disclosure of funding sources and financial interests in research media releases	67,74
4.6 Require a declaration of interests slide in all presentations and a written statement on any poster presentations	39,40,52,56,67,70,74

(Continues)

TABLE 1 (Continued)

Themes, Subthemes, and Statements	Sources
4.7 Establish a public database of conflicts of interests in dietary public health research	8
5. Publication	
5.1 Academic researchers should include all potential conflict of interests including full affiliation as well as disclosure of industry funding and/or industry affiliation in research publications	37,39,40,67,68,70,73
5.2 Ensure research partner retains full rights to publish all results, including those unfavourable to the funder	27,37,40,42,52,67-69
5.3 Ensure the research partner has control over the preparation and approval of peer-reviewed manuscript	67,68
5.4 Establish clear definitions around sponsorships and author affiliations to be used in publications, such as: industry funded, non-industry funded, and unknown/unclear sponsorship	75

these ranged from not accepting any commercial funding^{45,46} to not accepting funding from the food industry^{7,14,45,47} or the ultraprocessed food industry.^{42,48-50} Alternatively, for those who believed it was appropriate to accept funding, some suggested that it should go through an independent, intermediary body,^{13,42-44} whilst others actively encouraged direct relationships between researchers and the food industry.^{10,16,17,27,38-41,53,60}

For those accepting funding from the food industry, there was also a range of views on the role that the food industry should take in a research project. Several of the statements were ambiguous and provided little detail on the practicalities of this arrangement. For example, there should be "little involvement from the funder"²² but with no explanation of what constitutes "little involvement."

3.2 | Undertake a risk assessment

For those organizations or researchers considering interacting with the food industry, a key theme was the need to undertake a thorough risk assessment before proceeding. This included not only assessing the suitability of a food company, as well as the type of interaction involved.

In order to assess the suitability of a food company for an interaction, some authors recommended ensuring that a food company had compatible goals or values,^{4,14,17,34,36,54,60-62} although limited guidance was provided on how to determine this. Kraak and Story⁶³ and Rowe et al¹⁵ encouraged a more moderate approach for assessing food companies, proposing interactions only with those who share objectives or have a shared approach to the research. Others provided more explicit guidance for assessing potential food companies with which to interact, stating that an interaction should only be initiated if it helps to advance public health goals^{4,14,15,17,36,54,58} and that companies selling or promoting unhealthy foods should be avoided.^{35,42,48,49}

In terms of assessing the type of interaction, recommendations considered whether the interaction would be acceptable across institutions and national borders.^{4,61} Generic international protocols and frameworks, such as guidance from the World Health Organization, were also mentioned.^{14,51}

Underpinning proposed risk assessments was the possibility of reputational damage and loss of trust. This subtheme included a

number of different principles ranging from not ghost-writing publications for the private sector^{34,64} to not allowing a commercial partner to co-brand research projects or related material.^{4,43,51}

A final subtheme for assessing risk was to ensure public benefit was at the centre of the agreement; this included considering public sentiment regarding the arrangement^{17,27,46,59,61,62,64,65} and whether the partnership maximized benefit to society.^{12,16,36,50,51,54}

3.3 | Maintain high standards of research governance

The majority of documents stated it was essential to plan research objectively and develop a clear research protocol outlining all roles, responsibilities, goals and objectives, and research methods before the research commenced. Several documents encouraged an extra level of accountability through the involvement of independent third parties, either for data analysis or oversight.^{8,10,12,13,16,34,41,42,60} Many of the research governance principles can be considered generic principles of good research practise and not specific to minimizing conflicts of interest.

Another important mechanism of research governance that authors proposed was to ensure that no partner has disproportionate power or influence. Proposed strategies for achieving this included involving members of civil society as partners^{15-17,36,54,60,76} and/or ensuring a diversity of partners.^{12-17,22,43,51,69}

The importance of having a clear system to identify and manage conflicts of interest was highlighted by most documents. Suggestions for achieving this included recusing stakeholders with actual or perceived conflicts from decision making,^{13,16,34,59,68,70} continuously monitoring conflicts of interests,^{13,17,36,39,43,51,55} establishing exit mechanisms,^{16,17,34,36,43,54} and establishing sanctions for violation of conflicts of interest policy.^{13,43,45}

3.4 | Ensuring high levels of transparency

The most common, and sometimes only, strategy noted to prevent and manage potential conflict of interests was full disclosure.^{24,65,70} However, the definition of full disclosure varied. Several documents stated that "conflicts of interest should be declared" without defining



a “conflict of interest.”^{7,24,56,70,74} Others provided clarification by specifying that conflicts of interest that were financial, personal, or professional should be disclosed^{8,68,72} or that the interests of the spouse or partner, minor children, employer, or business partners of all involved in the research partnership should also be disclosed.^{13,64} The other element of this theme was ensuring that full disclosure occurred with all elements of research dissemination whether in the form of a project report, journal article, conference presentation, press release, or a public talk.^{15,22,27,37,42,51,67,70,74}

3.5 | Improving publication standards

The final theme acknowledged the powerful role that journal editors can play in ensuring standards are met. Aspects of transparency and research governance were central to this theme and included strategies to ensure clear definitions for authorship and affiliation⁷⁵ and control over the manuscript by the research partner.^{67,68} Strong levels of support were seen for the general principle of full disclosure in research publications^{8,22,24,37,39,45,51,67,68,70,73,75} and ensuring the right to publish all results, including those unfavourable to the funder or commercial partner.^{15,22,27,37,42,51,67-69,74}

A flow diagram summarizing the researcher decision-making process was synthesized from the literature, using a process of thematic analysis and theorizing, to aid further analysis (see Figure 3). It demonstrated that there is general agreement on the decision-making process, but the specifics of decision making for certain steps were unclear.

4 | DISCUSSION

4.1 | Principal findings

Our systematic scoping review identified 56 principles from 54 documents. These covered five key areas: governance of funding, risk

assessment, maintaining standards of governance, ensuring transparency, and improving publication standards. The review has highlighted the wide range of principles proposed to prevent or manage conflicts of interest between population health researchers and the food industry. A high level of agreement between authors exists on some of these principles, whilst others remain more contentious, particularly those related to whether it is appropriate to interact with the food industry and what kinds of interactions are considered problematic. There was limited guidance on how the principles should be applied in practise.

4.2 | Relationship to existing knowledge

There was more consensus and detailed guidance related to three themes: “ensuring high levels of transparency,” “maintaining high standards of research governance,” and “improving publication standards.” These encompass established and foundational principles of research and involve unequivocal decision making. So it is perhaps unsurprising that they had high levels of support. However, within the theme “ensuring high levels of transparency,” the concept of “full disclosure” meant different things in different documents. Although there was generally support for individual financial disclosure, acknowledgement of the need for more extensive disclosure was more limited. Agreeing on a standard for full disclosure is important as it provides those reading or listening to findings with a basis for drawing their own conclusions regarding potential for bias and confidence in the findings.^{77,78}

The flow diagram (Figure 3) highlights the importance of risk assessment processes in making decisions about interacting with the food industry. However, few documents specified criteria or a structured process to guide this. The lack of specific criteria highlights the moral as well as scientific values that should be considered when assessing these issues.⁷⁹ Without clear guidance in this area, researchers may have trouble conducting risk assessments. Furthermore, the ownership arrangements of many food companies make

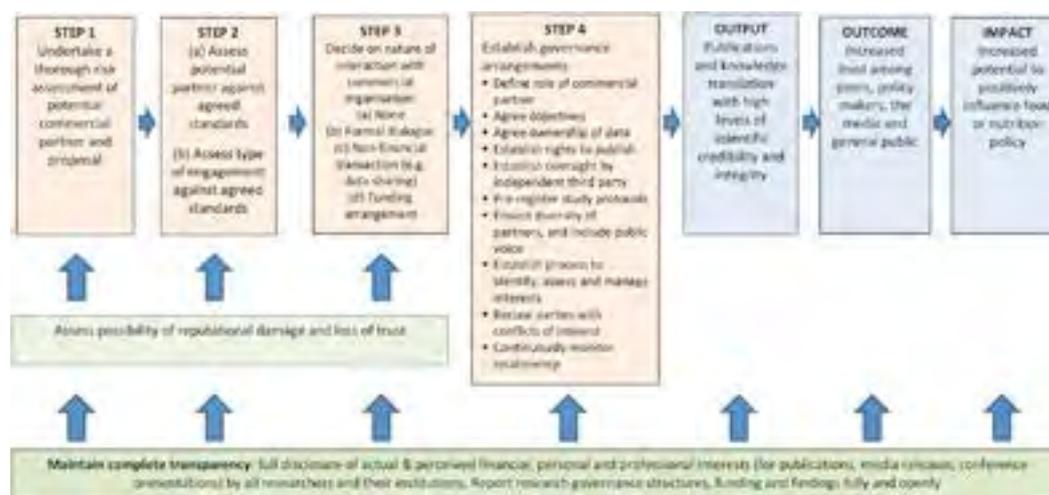


FIGURE 3 Flow chart identifying steps in process and key principles to help researchers determine whether and how to interact with commercial organizations [Colour figure can be viewed at wileyonlinelibrary.com]

the assessment of their suitability for research-related interactions difficult to judge. Does one assess a food product, the wider brand, the company, or its parent company? Clear guidance around this complex issue was not included in any documents. For researchers who are seeking guidance on which commercial organizations to interact with and for what activities, this review has demonstrated that there is neither clear consensus nor guidance on how to assess the risks. More work is needed to clarify these issues.

Few documents recognized the diversity of types of interaction with industry or whether different strategies are required for different types of interaction. Calls for further recognition of the potential heterogeneity of interactions, in particular partnerships, have been made in relation to population health research focussed on diet or nutrition⁵⁷ and other types of research.⁵⁰ However, Johnston and Finegood⁴ acknowledge that as partnerships often require flexibility and exist along a continuum, it may be difficult to establish clear definitions and guidelines for all types. Further exploration is warranted to determine a typology of different interactions and whether different guidance is required for these different types of interaction.

This literature review has highlighted that polarized views on either side of this argument are evident. For authors who clearly supported engagement with industry, there was limited acknowledgement of the risks of this. Most of these documents also failed to acknowledge the potential power imbalance in such relationships or display an understanding of who stands to gain from relationships in the long and short term. Without acknowledging these risks, it is hard to identify and prevent or manage them.^{55,57} Amongst those authors who were clearly against interactions with industry, there was limited acknowledgement of its potential benefits.⁴² Furthermore, there was a lack of acknowledgement that bias can occur from a number of perspectives, and whilst not as extensively documented, this has been found to occur in researchers' opposition to industry interests resulting in overstatement of results.^{80,81} The biases created by this emotive and value-based environment—both conscious and unconscious—make it difficult for all parties to critically assess the research opportunities offered by interaction with the food industry and related research designs and methods. Finding a way to objectively assess such research opportunities and methods is critical to advancing the integrity and credibility of population health research on diet and nutrition.

4.3 | Strengths and weakness of the study

Whilst much has been written on the topic of conflicts of interest between researchers and the food industry,^{7,26,27,77} this is the first study to systematically review and synthesize the range of principles that have been proposed to manage relationships between population health researchers and the food industry so as to prevent or minimize conflicts of interest. The comprehensiveness of the search and the use of two independent reviewers for 15% of the screening, exclusions, data extraction, and coding increase the reliability and validity of the results.

It is important to note that the material analyzed in the review was largely narrative in nature and in the form of discussion papers or guidance. It was not clear in most cases whether the principles cited or related guidance were empirically derived. However, the purpose of this review was to identify exhaustively the range of principles that are currently considered in preventing and managing conflict of interest and then to critically analyze and synthesize these. The use of multiple methods to identify eligible documents further increases our confidence that we are likely to have found the majority of relevant documents and principles. It is noteworthy that our network analysis revealed little evolution of principles over time, with low numbers of citations between articles.

It is possible that some documents offering relevant but generic guidance were not included in the review as we excluded documents without a specific focus on population health research on diet or nutrition. The study is also limited by the lack of documents included from low- and middle-income countries, where particular challenges exist concerning lack of research funding and undocumented interactions between researchers and the food industry. Furthermore, some of the documents reviewed were developed for NGOs engaging in research, and we have extrapolated their recommendations to the academic research setting, which may not be appropriate in every case. Finally, we only included documents published in English, and thus some relevant publications may have been missed. Despite these limitations, we are confident that data saturation was reached to adequately describe the principles surrounding this issue.

5 | CONCLUSIONS AND IMPLICATIONS

In the light of constrained public sector funding for research, particularly in low- and middle-income countries and the proposition that some population health research questions on diet and nutrition would benefit from industry involvement, it is likely that researcher interaction with the food industry will continue and may benefit population health. For those population health researchers considering interaction with the food industry, our findings highlight that there is a level of consensus on the principles relating to standards of research governance, transparency, and publication. However, in relation to assessing the appropriateness of potential industry interaction and the type of interaction, greater clarity is required to ensure that trust in dietary public health research remains high.

One way to achieve greater clarity on these issues is through formal consensus building. In addition, rather than abstract, high-level principles, clear action-guiding recommendations for assessing opportunities to interact with the food industry whilst preventing or managing conflicts of interest should be developed for use by researchers, funders, and journals to ensure the credibility and integrity of population health research involving food industry partners.

AUTHOR CONTRIBUTIONS

M.W., J.A., O.F., and N.F. developed the concept for the research and designed the study. K.C. and M.W. conducted the searches,



study selection, and data extraction and drafted and revised the paper. K.C., M.W., J.A., O.F., and N.F. interpreted the results. All authors critically reviewed drafts and approved the final version of the manuscript.

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CONFLICTS OF INTEREST

The authors have no financial relationships with any organizations that might have an interest in the submitted work. To accord with the principles espoused in this research, we have prepared a detailed declaration of interests for all co-authors, which can be found in a supplementary file.

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SUPPORTING INFORMATION

Additional supporting information may be found online in the Supporting Information section at the end of the article.

How to cite this article: Cullerton K, Adams J, Forouhi N, Francis O, White M. What principles should guide interactions between population health researchers and the food industry? Systematic scoping review of peer-reviewed and grey literature. *Obesity Reviews.* 2019;1-12. <https://doi.org/10.1111/obr.12851>



You can obtain 2 CEUs ethics credits for reading the article: **What principles should guide interactions between population health researchers and the food industry?** Systematic scoping review of peer reviewed and grey literature, by answering the following questions.

Questions 1-10: Choose the correct answer

6. Choose the correct statement:

- a. The primary purposes of the food industry and of population health researchers are well aligned, thus there is no risk for a potential conflict of interest.
- b. The primary purpose of the food industry and of population health researchers are often poorly aligned and this leads to a potential for conflicts of interest.
- c. Both of the above.

2. Choose the correct statement:

- a. Clear guidelines regarding acceptable interactions and conflicts of interest involving the food industry has been developed for non government organisations, policy makers and population health researchers.
- b. Clear guidelines regarding acceptable interactions and conflicts of interest involving the food industry has been developed for non government organisations, policy makers and nutrition researchers.
- c. Clear guidelines regarding acceptable interactions and conflicts of interest involving the food industry has been developed for non government organisations and policy makers.

3. There is a lack of clarity about the definition of “conflict of interest”. The accepted

definition is:

- a. “a set of conditions in which professional judgement concerning a primary interest (such as a patient’s welfare or the validity of research) is possibly unduly influenced by a secondary interest (such as financial gains) ”.
- b. “a set of conditions in which professional judgement concerning a primary interest (such as a patient’s welfare or the validity of research) tends to be unduly influenced by a secondary interest (such as financial gains)”.
- c. None of the above

4. Multiple factors influence conflict of interest amongst researchers. These factors relate to:

- a. Funding and success in publication
- b. Academic advancement and aspiration for recognition
- c. All of the above

5. Perceived conflicts of interest are just as important as actual conflicts of interest in undermining trust in research and researchers

- a. True.
- b. False.

6. Choose the correct statement:

- a. There is consensus on the definition of “full disclosure” meaning extensive disclosure by researchers, thus allowing the reader/listener to draw their own conclusions regarding potential for bias and confidence in the findings.
- b. There is only consensus on clarification of conflict of interest alluding to disclosure of financial contribution to research.



c. Both of the above.

7. Strong levels of support was found for the common principle of full disclosure in research publications and ensuring the right to publish all results, including unfavourables to the funder or commercial partner are reported.

- a. True
- b. False

8. There is clear consensus from the literature for researchers who are seeking guidance regarding interaction with the food industry. This includes:

- a. Specific criteria to consider when assessing the relevant risks related to conflict of interest
- b. Information on the moral and scientific values that should be considered to assess conflict of interest
- c. None of the above

9. Principles for preventing or managing conflicts of interest include the following:

- a. Aspects related to funding that need to be extensively considered
- b. The need to undertake a thorough risk assessment to thoroughly assess the potential partners, the type of engagement, possible public benefit and the possibility of reputational damage.
- c. Clarification of all aspects of the research governance and issues of transparency and the publication of the findings.
- d. All of the above

10. Choose the correct statement:

- a. Power imbalance is not a potential risk factor in the engagement between nutrition researchers and the food industry.
- b. There is a risk of power imbalance as risk factor when nutrition researchers engage with the food industry due to a lack of an understanding of who stands to gain from the relationships in the long and short term.
- c. None of the above

HOW TO EARN YOUR CEUs

1. Complete your personal details below
2. Read the article: **article What principles should guide interactions between population health researchers and the food industry? Systematic scoping review of peer reviewed and grey literature and answer the questions**
3. Indicate the answers to the questions by marking an “x” in the appropriate block at the end.
4. You will earn 2 CEUs (Level 1 - Ethics) if you answer 70% or more of the questions correctly. A score of less than 70% will unfortunately not earn you any CEUs.
5. Make a photocopy for your own records in case your answers do not reach us.
6. Scan and email or post your answers to:

Email: hek@sun.ac.za

Postal Address: Mrs HE Koornhof, 10 Grey Street, Welgemoed, 7530

Please note: The answers should not reach us later than 28 March 2020. Answer sheets received after this date will not be processed.

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PLEASE ANSWER ALL THE QUESTIONS AND MARK THE APPROPRIATE BLOCK WITH AN "X "

1. A B [C]

2. A B [C]

3. A B [C]

4. A B [C]

5. A B

6. A B [C]

7. A B

8. A B [C]

9. A B [C] [D]

10. A B [C]



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